

U.S. Department Of Commerce, Patent And Trademark Office		Date: <u>0</u> September 2006 (09.09.2006)
Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371		U.S. Application No.: <u>10/591746</u> (if applicable) Not Yet Assigned
International Application No.: PCT/JP2005/004503	International Filing Date: 15 March 2005 (15.03.2005)	Priority Date Claimed: 19 March 2004 (19.03.2004) JP-2004-080152
Title of Invention: IMAGE PROCESSING DEVICE, IMAGE PROCESSING METHOD, AND PRINTER DRIVER		
Applicants for DO/EO/US: NAGAO, Noriaki; NOBUSHIMA, Kouichi		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <p>6. <input checked="" type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. The assignment is in favor of RISO KAGAKU CORPORATION 2-20-15, Shinbashi, Minato-ku, Tokyo, JAPAN;</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information: TRANSMITTAL LETTER; INTERNATIONAL PUBLICATION NUMBER: WO 2005/091617 A1; INTERNATIONAL PUBLICATION DATE: 29 SEPTEMBER 2005 (29.09.2005), WHICH CONSISTS OF 57 PAGES: 2 PAGES OF THE COVER SHEET, 37 PAGES OF TEXTUAL SPECIFICATION, 5 PAGES OF 11 CLAIMS, 1 SHEET OF THE ABSTRACT, 12 SHEETS OF DRAWINGS; EXECUTED DECLARATION AND POWER OF ATTORNEY; EXECUTED ASSIGNMENT WITH RECORDATION COVER SHEET TO RISO KAGAKU CORPORATION 2-20-15, SHINBASHI, MINATO-KU, TOKYO, JAPAN; INFORMATION DISCLOSURE STATEMENT; PTO FORM 1449 WITH 4 CITED REFERENCES; PCT/IB/304(NOTIFICATION CONCERNING SUBMISSIONS OR TRANSMITTAL OF PRIORITY DOCUMENT); PCT/IB/308 (SECOND AND SUPPLEMENTARY NOTICE); PCT/ISA/210(INTERNATIONAL SEARCH REPORT); PCT/ISA/237(WRITTEN OPINION); POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.</p>		

JAP9 Rec'd PCT/PTO 06 SEP 2006

Attorney Docket: 27661U

MAIL STOP PCT

U.S. Application Number: (if known): Not Yet Assigned 10/591748	International Application Number: PCT/JP2005/004503	Date: September 2006 (09.2006)																																																														
<p>The following fees are submitted:</p> <p>21. Basic National Fee (37 CFR 1.492(a)) \$ 300.00</p> <p>22. Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 All other situations \$ 200.00</p> <p>23. Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$ 100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$ 400.00 All other situations \$ 500.00</p> <p>Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.</p>		CALCULATIONS																																																														
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 900.00																																																														
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>		\$ 0.00																																																														
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding-bottom: 2px;">CLAIMS</th> <th style="text-align: left; padding-bottom: 2px;">NUMBER: FILED</th> <th style="text-align: left; padding-bottom: 2px;">NUMBER: EXTRA</th> <th style="text-align: left; padding-bottom: 2px;">RATE</th> <th style="text-align: left;"></th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td>11-20=</td> <td>0</td> <td>X \$ 50.00</td> <td>\$ 0.00</td> </tr> <tr> <td>INDEPENDENT</td> <td>3-3=</td> <td>0</td> <td>X \$ 200.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="2">Multiple dependent claims(s) (if applicable)</td> <td></td> <td>+ \$360.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 900.00</td> </tr> <tr> <td colspan="4">Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL =</td> <td>\$ 900.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td> <td>\$ 900.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td>\$ 40.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td> <td>\$ 940.00</td> </tr> <tr> <td colspan="4"></td> <td>Amount to be: refunded charged</td> </tr> </tbody> </table>				CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE		TOTAL	11-20=	0	X \$ 50.00	\$ 0.00	INDEPENDENT	3-3=	0	X \$ 200.00	\$ 0.00	Multiple dependent claims(s) (if applicable)			+ \$360.00	\$ 0.00	TOTAL OF ABOVE CALCULATIONS =				\$ 900.00	Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00	SUBTOTAL =				\$ 900.00	Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$ 0.00	TOTAL NATIONAL FEE =				\$ 900.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	TOTAL FEES ENCLOSED =				\$ 940.00					Amount to be: refunded charged	\$
CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE																																																													
TOTAL	11-20=	0	X \$ 50.00	\$ 0.00																																																												
INDEPENDENT	3-3=	0	X \$ 200.00	\$ 0.00																																																												
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$ 0.00																																																												
TOTAL OF ABOVE CALCULATIONS =				\$ 900.00																																																												
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00																																																												
SUBTOTAL =				\$ 900.00																																																												
Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$ 0.00																																																												
TOTAL NATIONAL FEE =				\$ 900.00																																																												
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00																																																												
TOTAL FEES ENCLOSED =				\$ 940.00																																																												
				Amount to be: refunded charged																																																												

Attorney Docket: 27661U

MAIL STOP PCT

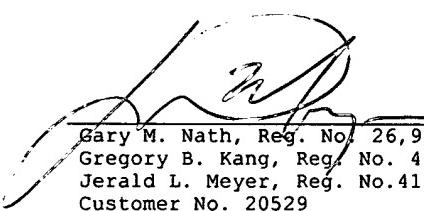
U.S. Application Number: (if known): Not Yet Assigned	International Application Number: PCT/JP2005/004503	Date: <u>10</u> September 2006 (09.2006)
10/591746		

- a. One check in the amount of \$ 940.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account Number: 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. Fees are to be charged to a credit card WARNING: Information on this form may become public Credit Card Information should not be included on this form.
 Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

Gary M. Nath
NATH & ASSOCIATES PLLC
 112 South West Street,
 Alexandria, VA 22314
 Tel: 1-703-548-6284
 Fax: 1-703-683-8396



Gary M. Nath, Reg. No. 26,965
 Gregory B. Kang, Reg. No. 45,273
 Jerald L. Meyer, Reg. No. 41, 194
 Customer No. 20529

10/591746

10/591746
PCT/JP2005/004503
MAIL STOP PCT

Attorney Docket: 27661U
Date: September 6, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
In re Application of:

NAGAO et al.

Serial No.: Not Yet Assigned

Filed: September 6, 2006

Internat'l. Appl. No.: PCT/JP2005/004503

Internat'l. Filing Date: 15 March 2005 (15.03.2005)

Title: IMAGE PROCESSING DEVICE, IMAGE PROCESSING METHOD, AND PRINTER DRIVER

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- 1) Transmittal Letter;
- 2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US)
Concerning Filing under 35 U.S.C. 371;
- 3) International Publication Number: WO 2005/038172 A1; International Publication Date: 28 April 2005 (28.04.2005), which consists of 57 pages:
2 pages of the cover sheet,
37 pages of Textual Specification,
5 pages of 11 Claims,
1 sheet of the Abstract,
12 sheets of Drawings;
- 4) Executed Declaration and Power of Attorney;
- 5) Executed Assignment with Recordation Cover Sheet to RISO KAGAKU CORPORATION
2-20-15, Shinbashi, Minato-ku, Tokyo, JAPAN;
- 6) Information Disclosure Statement;
- 7) PTO Form 1449 with 4 cited references;
- 8) PCT/IB/304(Notification Concerning Submissions or Transmittal of Priority Document);
- 9) PCT/IB/308 (Second and Supplementary Notice);
- 10) PCT/ISA/210(International Search Report);
- 11) PCT/ISA/237(Written Opinion);
- 12) Check No.: 2786 in the Amount of \$ 940.00 for the Basic Filing Fee,
which includes the Search and Examination Fees (\$900), as a Large Entity, and Fee for Recordation of the Assignment (\$40);
- 13) Postcard for Early Notification of the Serial Number Request.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:

Gary M. Nath, Reg. No. 26,965
Gregory B. Kang, Reg. No. 45,273
✓ Jerald L. Meyer, Reg. No. 41, 194
Customer No. 20529

NATH & ASSOCIATES PLLC
112 South West Street,
Alexandria, VA 22314
GMN/GBK/JLM/sk (NATLPHASE.APPLICATION)